PTO/SB/68 (07-03)
Approved for use through 7/31/2003. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REQUEST FOR ACCESS TO AN ABANDONED APPLICATION UNDER 37 CFR 1.14						
·		In re Application of				
Bring completed form to: File Information Unit Crystal Plaza Three, Room 1D01 2021 South Clark Place Arlington, VA Telephone: (703) 308-2733	MAY 2 5 2004  File Information Unit		er No. <u>#32</u>			
I hereby request access under 37 CFR 1.14(a)(1)(iv) to the application file record of the above-identified ABANDONED application, which is identified in, or to which a benefit is claimed, in the following document (as shown in the attachment):						
		, page,lin				
United States Patent Numbe	r <u>6 ,497 872</u> , column	n, line,	or			
WIPO Pub. No, page, line						
Related Information about Access to Pending Applications (37 CFR 1.14):  Direct access to pending applications is not available to the public but copies may be available and may be purchased from the Office of Public Records upon payment of the appropriate fee (37 CFR 1.19(b)), as follows:  For published applications that are still pending, a member of the public may obtain a copy of:  the file contents; the pending application as originally filed; or any document in the file of the pending application.  For unpublished applications that are still pending:  (1) If the benefit of the pending application is claimed under 35 U.S.C. 119(e), 120, 121, or 365 in another application that has: (a) issued as a U.S. patent, or (b) published as a statutory invention registration, a U.S. patent application publication, or an international patent application publication in accordance with PCT Article 21(2), a member of the public may obtain a copy of: the file contents; the pending application as originally filed; or any document in the file of the pending application.  (2) If the application is incorporated by reference or otherwise identified in a U.S. patent, a statutory invention registration, a U.S. patent application publication, or an international patent application publication in accordance with PCT Article 21(2), a member of the public may obtain a copy of: the pending application as originally filed.						
Altrà Siddi Signature	· · · · · · · · · · · · · · · · · · ·	\$ - 25^ . 0 C	<u> </u>			
ATTIA		FOR PA	USE ONLY			
Typed or printed name  Registration Number, if ap		Approved by M	CIPHOLE 1970 D			
Telephone Numbe	•		2,0201			
This collection of information is required by 37 CF	R 1.14. The information is required to	obtain or retain a benefit by the public	nformation Unit			

to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. BRING TO: File Information Unit, Crystal Plaza Three, Room 1001, 2021 South Clark Place, Arlington, VA.



# (12) United States Patent

Weiss et al.

(10) Patent No.:

US 6,497,872 B1

(45) Date of Patent:

Dec. 24, 2002

# (54) NEURAL TRANSPLANTATION USING PROLIFERATED MULTIPOTENT NEURAL STEM CELLS AND THEIR PROGENY

(75) Inventors: Samuel Welss, Alberta (CA); Brent Reynolds, Alberta (CA); Joseph P. Hammang, Barrington, RI (US); E. Edward Baetge, Barrington, RI (US)

(73) Assignee: NeuroSpheres Holdings Ltd., Calgary

(\*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.

(21) Appl. No.: 08/486,313

(22) Filed: Jun. 7, 1995

### Related U.S. Application Data

(63) Continuation-in-part of application No. 08/270,412, filed on Jul. 5, 1994, now abandoned, which is a continuation of application No. 07/726,812, filed on Jul. 8, 1991, now abandoned, application No. 08/486,313, which is a continuation-in-part of application No. 08/385,404, filed on Feb. 7, 1995, now abandoned, which is a continuation of application No. 07/961,813, filed on Oct. 16, 1992, now abandoned, which is a continuation-in-part of application No. 07/726,812, application No. 08/486,313, which is a continuation-in-part of application No. 08/359,945, filed on Dec. 20, 1994, now abandoned, which is a continuation of application No. 08/221,655, filed on Apr. 1, 1994, now abandoned, which is a continuation of application No. 08/221,655, filed on Apr. 1, 1994, now abandoned, which is a continuation-in-part of application No. 07/726,812, filed on Jul. 8, 1991, now abandoned, application No. 08/486,313, which is a continuation-in-part of application No. 08/48

(51)	Int. Cl. <sup>7</sup>	• • • • • • • • • • • • • • • • • • • •	A01N	63/00;	A01N	65/00;
					A61K	48/00

(52) U.S. Cl. ...... 424/93.1; 424/93.2; 424/93.21

## (56) References Cited

#### U.S. PATENT DOCUMENTS

4,753,635 A		Sagen et al 604/49
4,980,174 A	12/1990	Sagen et al 424/563
5,082,670 A		Gage et al 424/520
5,175,103 A	12/1992	Lee et al 435/172.3
5,411,883 A	5/1995	Boss et al 435/240.2
5,612,211 A	3/1997	Wilson et al 435/378
5,753,506 A	5/1998	Johe 435/240.23

#### FOREIGN PATENT DOCUMENTS

EP	0 233 838	8/1987
wo	89/03872	5/1989
wo	90/06757	6/1990
wo	91/02003	2/1991
wo	91/09936	7/1991
wo	91/17242	11/1991
wo	93/01275	1/1993
wo	93/09802	5/1993
wo	94/03199	2/1994

#### OTHER PUBLICATIONS

Lubetzki et al. Ann. New York Acad. Sci. 605: 66-70 (Nov. 1990) \*

Emmerich et al Cell Transplantation 1: 401-427 (1992).\* Friedmann. T.1.6. 10(6):210-214 (1994).\*

Orlein et al "Report & Recomendation . . . Gene Therapy" Dec. 7, 1995. NIH.\*

Cattaneo et al (1990) Nature 347, 762-765, 1990.\* Drago et al. (Proc. Natl. Acad. Sci. USA, (Mar. 15, 1991) 88 (6) 2199-203).\*

Isacson et al. (Exp. Brain Res. (1989) 75 (1) 213-20).\* Lindvall et al. (Archives of Neurology, (Jun. 1989) 46 (6) 615-31.\*

Wendt et al. (Exp. Neurology, (Feb. 1983) 79 (2) 452-61).\* Kesslak et al. (Exp. Neurology, (Dec. 1986) 94 (3) 615-26).\*

Andres F. (J. Neural Transplantation, (1989) 1 (1) 11-22).\* Price et al. (Development, (Nov. 1988) 104 (3) 473-82).\* Federoff et al. (Proc. Natl. Acad. Sci. USA 89 (5). 1992. 1636-1640.\*

Pezzali et al Movement? Disorders C(4): 211, 1991.\* Olzaz et al Thrmpontation? 1989.\*

#### (List continued on next page.)

Primary Examiner—Anne-Marie Baker (74) Attorney, Agent, or Firm—Mintz, Levin, Cohn, Ferris, Glovsky and Popeo, P.C.; Ivor R. Elrifi, Esq.; Christine V. Karnakis, Esq.

# (57) ABSTRACT

The invention provides methods of transplanting multipotent neural stem cell progeny to a host by obtaining a population of cells derived from mammalian neural tissue containing at least one multipotent CNS multipotent neural stem cell; culturing the neural stem cell in a culture medium containing one or more growth factors which induce multipotent neural stem cell proliferation; inducing proliferation of the multipotent neural stem cell to produce neural stem cell progeny which includes multipotent neural stem cell progeny cells; and transplanting the multipotent neural stem cell progeny to the host. Also provided are methods of transplanting neural stem cell progeny to a host by obtaining an in vitro cell culture containing CNS neural stem cells where one or more cells in the culture (i) proliferates in a culture medium supplemented with one or more mitrogens, (ii) retains the capacity for renewed proliferation, and (iii) maintains the multipotential capacity, under suitable culture conditions, to differentiate into neurons, astrocytes, and oligodendrocytes; and transplanting the one or more cells to the hose.

32 Claims, 3 Drawing Sheets